



**Office of  
the Schools  
Adjudicator**

**LOCAL AUTHORITY REPORT  
TO  
THE SCHOOLS ADJUDICATOR  
FROM  
Southend-on-Sea Local Authority**

**30 JUNE 2016**

**Report Cleared by (Name): Brin Martin**

**(Title): Head of Learning**

**Date submitted: 30 June 2016**

**By (Name): Cathy Braun**

**(Title): Group Manager, Access and Inclusion**

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**[www.gov.uk/government/organisations/office-of-the-schools-adjudicator](http://www.gov.uk/government/organisations/office-of-the-schools-adjudicator)**

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## **Introduction**

1. Section 88P of the School Standards and Framework Act 1998 requires Local Authorities to make an annual report to the adjudicator.
2. The School Admissions Code (the Code) at paragraph 6 sets out the requirements for reports by local authorities. Paragraph 3.23 specifies what must be included as a minimum in the report to the adjudicator and makes provision for the local authority to include any other local issues.
3. There are other matters concerning admissions, some suggested by local authorities themselves, about which it would be useful to have a view. Rather than undertake a separate exercise in which information is sought from local authorities, you are asked to include any relevant information in your report to the adjudicator.

## **Completing the Template**

**This template is designed to be completed electronically - boxes will expand as necessary. Please note that we will contact you if any data boxes have not been completed. However if there are any blank comment boxes we will presume that you have no comments to make.**

**Throughout this report, please include middle deemed primary schools as for pupils up to age 11 and middle deemed secondary schools as for pupils over 11. For schools that have children of primary and secondary age and are not designated as a middle school please record them as all-through schools.**

**Where a type of school is given, foundation covers foundation schools and foundation schools with a foundation (trust schools). Academy schools should be recorded by the individual type of academy school, namely, academy, free school, UTC or studio school.**

### **1. Local Authority school numbers**

Please give the total number of schools by type within your local authority as at 30 June 2016.

| <b>Type of School</b>       | <b>Number of Schools for pupils up to age 11</b> | <b>Number of Schools for pupils over age 11</b> | <b>Number of all-through schools</b> |
|-----------------------------|--------------------------------------------------|-------------------------------------------------|--------------------------------------|
| <b>Community</b>            | 24                                               | 0                                               | 0                                    |
| <b>Voluntary Controlled</b> | 0                                                | 0                                               | 0                                    |
| <b>Voluntary Aided</b>      | 5                                                | 0                                               | 0                                    |
| <b>Foundation</b>           | 3                                                | 2                                               | 0                                    |
| <b>Academy</b>              | 3                                                | 10                                              | 0                                    |
| <b>Free School</b>          | 0                                                | 1                                               | 0                                    |
| <b>UTC</b>                  | N/A                                              | 0                                               | 0                                    |
| <b>Studio School</b>        | N/A                                              | 0                                               | 0                                    |

## 2. Admission Arrangements for Admissions in September 2016

The Code at paragraph 3.23 requires that each local authority provides “*information about how admission arrangements in the area of the local authority serve the interests of looked after children and previously looked after children, children with disabilities and children with special educational needs, including any details of where problems have arisen*”.

Please include details of:

1. Any ways in which each of the following groups of children have been especially well served; and
2. Any difficulties that have arisen for each group of children while allocating places for admission in September 2016.

(a) How well are the interests of **looked after children** served?

Tick as appropriate: Fully  In part  Not satisfactory

Comments:

(b) How well are the interests of **previously looked after children** served?

Tick as appropriate: Fully  In part  Not satisfactory

Comments:

(c) How well are the interests of **children with disabilities** served?

Tick as appropriate: Fully  In part  Not satisfactory

Comments:

(d) How well served are **children who have special educational needs and who have a statement of special needs** that names a school (or an education health and care plan)?

Tick as appropriate: Fully  In part  Not satisfactory

Comments:

(e) How well served are those **children who have special needs, but do not have a statement**?

Tick as appropriate: Fully  In part  Not satisfactorily

Comments:

### 3. Co-ordination of admissions

#### A) During the normal admissions round

Please assess the effectiveness of co-ordination of primary and secondary admissions for September 2016 in your local authority, highlighting any particular strengths in the process or any problems that have arisen.

##### Primary

- (a) How well has the operation of national offer day worked for primary admissions this year compared with previous years?

Tick as appropriate: Better  The same  Less well

Comments: All applicants received their offer information on the same day regardless of location of school.

##### Secondary

- (b) How well has the operation of national offer day worked for secondary admissions this year compared with previous years?

Tick as appropriate: Better  The same  Less well

Comments: All applicants received their offer information on the same day regardless of location of school

- (c) If you have any UTCs or studio schools in your area, do you co-ordinate admissions for entry at the relevant year group for entry to these schools?

Tick as appropriate: Yes  No  N/A

If **YES**, please comment on how well the admissions process is working for these schools:

If **NO**, do you have any evidence about how well the admission process is working for individual UTCs or studio schools?

Tick as appropriate: Yes  No

If **YES**, please comment:

**B) In-year admissions**

The Code sets out that in-year admissions do not have to be co-ordinated by the local authority.

- (a) How many **pupils** have needed a school place because they do not have one or because parents have applied for a place as an in-year admission for any other reason between 1 September 2015 and 15 June 2016?

| Number of pupils up to age 11 | Number of pupils over age 11 | Number of post-16 students |
|-------------------------------|------------------------------|----------------------------|
| 708                           | 230                          | n/k                        |

- (b) Does your local authority co-ordinate in-year admissions for all, some or none of the schools in your area?

Tick as appropriate: All  Some  None

If 'Some', please complete the table below as appropriate

| Type of School       | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|----------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| Community            | 33                                        | 0                                        | 0                             |
| Voluntary Controlled | 0                                         | 0                                        | 0                             |
| Voluntary Aided      | 1                                         | 0                                        | 0                             |
| Foundation           | 1                                         | 0                                        | 0                             |
| Academy              | 0                                         | 0                                        | 0                             |
| Free School          | 0                                         | 0                                        | 0                             |
| UTC                  | N/A                                       | 0                                        | 0                             |
| Studio School        | N/A                                       | 0                                        | 0                             |

- (c) Do you have any information about how many schools parents might approach before obtaining a place? Please comment on any issues that have come to your attention.

Comments: This is not clear in all cases however, from applications received by the LA most parents seem to approach schools until they obtain a place. In some cases for secondary schools parents will keep the child out of school while they follow the appeal route, for a preferred school. Children in these circumstances are picked up as 'children missing education' and all efforts are made to ensure that children go on roll at a school with places as soon as possible. In some cases, where children are unplaced and are struggling to get a school place, referrals to the Fair Access Panel are made

- (d) How confident are you that the requirements of the Code at paragraph 2.22, for schools to keep the local authority informed in a timely manner about applications and the outcomes, are being met? (If you co-ordinate all admissions for all schools then please tick not applicable.)

Tick as appropriate:

Very confident  Confident  Not confident  Not applicable

- (e) Across your local authority, how well have in-year admissions worked this year?

Tick as appropriate: Better than last year  The same as last year   
Less well than last year

- (f) Please comment on the effectiveness overall of in-year admission arrangements across all types of schools in your local authority.

Comments: Some parents find the process of applying to more than one school very difficult and frustrating having to complete more than one application form. The processes and responses from schools to parents are variable. Allocating alternative offers for pupils that are without a school has been more complex than when there was full coordination and where a parent could not be offered any of the preferences the LA would allocate the next nearest school with places. Without full coordination, even when the LA is aware of a child without a school place, if the next nearest school with places is not in the LA coordination and the LA requests the school to make an offer, most still insist on parents completing one of their application forms. This raises confusion with the parent as they may not wish to apply to that school. The LA is involved in explaining the reasons and encouraging parents to apply to the next nearest school with places. This has created a delay in children going on the school roll.

Recent information received from schools and the public has raised concerns regarding some academies applying the admission code legally in relation to in year applications (delaying, ignoring and refusing where there are spaces). Unfortunately information is often anecdotal and not evidenced based and therefore is difficult to challenge.

#### **4. Fair Access Protocol**

The Code at paragraph 3.9 requires each local authority to have agreed a Fair Access Protocol with the majority of schools in its area. Paragraph 3.11 of the Code requires that all admission authorities must participate in the Fair Access Protocol.

- (a) Please confirm that your local authority has a Fair Access Protocol that has been agreed with the majority of schools in your area.

Tick as appropriate: Yes  No

If **NO**, please explain:

- (b) Although a majority of schools, and perhaps all, will have agreed the Fair Access Protocol, some may not have done so. Please state how many schools have not agreed your Fair Access Protocol.

| Type of School              | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|-----------------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| <b>Community</b>            | 0                                         | 0                                        | 0                             |
| <b>Voluntary Controlled</b> | 0                                         | 0                                        | 0                             |
| <b>Voluntary Aided</b>      | 0                                         | 0                                        | 0                             |
| <b>Foundation</b>           | 0                                         | 0                                        | 0                             |
| <b>Academy</b>              | 0                                         | 0                                        | 0                             |
| <b>Free School</b>          | 0                                         | 0                                        | 0                             |
| <b>UTC</b>                  | N/A                                       | 0                                        | 0                             |
| <b>Studio School</b>        | N/A                                       | 0                                        | 0                             |

- (c) Where schools did not agree the Fair Access Protocol, please say why they did not agree.

Comments:

- (d) (i) Please give your assessment of how well your Fair Access Protocol has worked in the academic year 2015/16 in placing children without a school place in schools in a timely manner.

Tick as appropriate: Very well  Mostly well  Some difficulties

- (ii) What is your general assessment of the working of the protocol compared with last year?

Tick as appropriate: More effective  As effective  Less effective

- (iii) How frequently has the protocol been used to place a child compared with last year?

Tick as appropriate: More frequently  Same frequency  Less frequently

- (e) Have you any examples of particularly effective collaboration and working with individual schools, for example, placing children in year 6 of a primary school or years 10 and 11 of a secondary school?

Tick as appropriate: Yes  No

Comments: Unplaced children particularly those in years 2,6,10 and 11 have been successfully placed.

|  |
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(f) Have you had specific problems in allocating a place through the protocol, for example, where a school has been reluctant to accept a child?

Tick as appropriate:      Yes       No

Comments: some schools have been reluctant to take Fair Access children when already oversubscribed and have additional LAC or SEN children. There is a small selection of suitable schools in a small Borough (good or outstanding schools).

(g) How many children have been admitted under the protocol to each type of school in your area? How many children have been refused admission to a school?

| Type of School              | Number of children admitted     |                                |                     | Number of children refused admission |                                |                     |
|-----------------------------|---------------------------------|--------------------------------|---------------------|--------------------------------------|--------------------------------|---------------------|
|                             | Schools for pupils up to age 11 | Schools for pupils over age 11 | All-through schools | Schools for pupils up to age 11      | Schools for pupils over age 11 | All-through schools |
| <b>Community</b>            | 1                               | 0                              | 0                   | 0                                    | 0                              | 0                   |
| <b>Voluntary Controlled</b> | 0                               | 0                              | 0                   | 0                                    | 0                              | 0                   |
| <b>Voluntary Aided</b>      | 1                               | 0                              | 0                   | 0                                    | 0                              | 0                   |
| <b>Foundation</b>           | 0                               | 0                              | 0                   | 0                                    | 0                              | 0                   |
| <b>Academy</b>              | 0                               | 4                              | 0                   | 0                                    | 0                              | 0                   |
| <b>Free School</b>          | 0                               | 0                              | 0                   | 0                                    | 0                              | 0                   |
| <b>UTC</b>                  | N/A                             | 0                              | 0                   | N/A                                  | 0                              | 0                   |
| <b>Studio School</b>        | N/A                             | 0                              | 0                   | N/A                                  | 0                              | 0                   |

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(h) If children have not been placed successfully in a school through the protocol, have you used the direction process to provide a place for a child?

Tick as appropriate:      Yes       No       N/A

(i) If **YES**, how many children have been placed and in which type of school as a result of a direction, including a direction via the EFA on behalf of the Secretary of State or after a referral to the Adjudicator?

| Type of School              | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|-----------------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| <b>Community</b>            |                                           |                                          |                               |
| <b>Voluntary Controlled</b> |                                           |                                          |                               |
| <b>Voluntary Aided</b>      |                                           |                                          |                               |

|                      |     |  |  |
|----------------------|-----|--|--|
| <b>Foundation</b>    |     |  |  |
| <b>Academy</b>       |     |  |  |
| <b>Free School</b>   |     |  |  |
| <b>UTC</b>           | N/A |  |  |
| <b>Studio School</b> | N/A |  |  |

- (j) Please add any other relevant information you wish to include in sections g - i concerning Fair Access Protocols.

Comments: Academies appear to be less engaged in the Fair Access Protocol than in previous years, with less commitment to meet children's additional emotional and behavioural needs within mainstream

## 5. Admission Appeals

The Code requires data to be collected about appeals. In order to meet this requirement the DfE will use the latest published Statistical First Release: admission appeals for maintained and academy primary and secondary schools in England.

Taking into account comments reported in 2014, and data gathered for the first time in 2015, in response to the invitation to "*add any comments about the appeals process in your area*", it would be helpful to gather views once again across all local authorities on the extent to which schools that are their own admission authority continue to use local authority services for admission appeals.

- (a) Do any own admission authority schools use any of your services as part of the appeals process?

Tick as appropriate: Yes  No

- (b) If yes, please indicate the number of schools that use at least some of your services

| Type of School         | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|------------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| <b>Voluntary Aided</b> | 0                                         | 0                                        | 0                             |
| <b>Foundation</b>      | 0                                         | 0                                        | 0                             |
| <b>Academy</b>         | 0                                         | 0                                        | 0                             |
| <b>Free School</b>     | 0                                         | 0                                        | 0                             |
| <b>UTC</b>             | N/A                                       | 0                                        | 0                             |
| <b>Studio School</b>   | N/A                                       | 0                                        | 0                             |

- (c) Please indicate the services that are used :

| Type of School                                                       | Schools for pupils up to age 11(Y/N) | Schools for pupils over age 11 (Y/N) | All- through schools (Y/N) |
|----------------------------------------------------------------------|--------------------------------------|--------------------------------------|----------------------------|
| Full appeals process                                                 | 0                                    | 0                                    | 0                          |
| Legal advice                                                         | 0                                    | 0                                    | 0                          |
| Assistance in the preparation and presentation of case documentation | 0                                    | 0                                    | 0                          |

(d) Please add any other service related to appeals obtained from your local authority

Comment: .

(e) Please add comments about any aspects of the appeals process in your area that work well or that cause difficulties, as appropriate.

Comment: Own authority school require support in preparation of case papers and we have offered support as required. Own authorities use the clerking services as approved by our Democratic Services and also use the appeal members listed with this service. The School Admissions Team provide advice and support to own authorities however, there is no current capacity to offer an appeals service

## 6. Other Issues

### A. Objections to admission arrangements

Paragraph 3.2 in the Code says “*local authorities **must** refer an objection to the Schools Adjudicator if they are of the view or suspect that the admission arrangements that have been determined by other admission authorities are unlawful*”.

(a) How many sets of admission arrangements of schools were queried directly by your local authority with schools that are their own admission authority because they were considered not to comply with the Code?

| Type of School  | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|-----------------|-------------------------------------------|------------------------------------------|-------------------------------|
| Voluntary Aided | 0                                         | 0                                        | 0                             |
| Foundation      | 0                                         | 0                                        | 0                             |
| Academy         | 0                                         | 1                                        | 0                             |
| Free School     | 0                                         | 0                                        | 0                             |
| UTC             | N/A                                       | 0                                        | 0                             |

|                      |     |   |  |
|----------------------|-----|---|--|
| <b>Studio School</b> | N/A | 0 |  |
|----------------------|-----|---|--|

(b) How confident are you that own admission authority admission arrangements are now fully compliant with the Code?

Tick as appropriate: Very confident  Confident  Not confident

(c) How many schools did not send you a copy of their full admission arrangements, including any supplementary information form (or any such form by another name, for example, religious inquiry form) if one is used, by 15 March, as required by paragraph 1.47 of the Code?

| Type of School         | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|------------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| <b>Voluntary Aided</b> | 0                                         | 0                                        | 0                             |
| <b>Foundation</b>      | 0                                         | 0                                        | 0                             |
| <b>Academy</b>         | 0                                         | 0                                        | 0                             |
| <b>Free School</b>     | 0                                         | 0                                        | 0                             |
| <b>UTC</b>             | N/A                                       | 0                                        | 0                             |
| <b>Studio School</b>   | N/A                                       | 0                                        | 0                             |

#### **B. Fraudulent applications**

(a) Is there any concern in your local authority about fraudulent applications?

Tick as appropriate: Yes  No

(b) Did your local authority make any offers on national offer days that were subsequently withdrawn as a result of a fraudulent application?

Tick as appropriate: Yes  No

(c) If **YES**, how many for each type of school?

| Type of School              | Number of Schools for pupils up to age 11 | Number of Schools for pupils over age 11 | Number of all-through schools |
|-----------------------------|-------------------------------------------|------------------------------------------|-------------------------------|
| <b>Community</b>            | 1                                         | 0                                        | 0                             |
| <b>Voluntary Controlled</b> | 0                                         | 0                                        | 0                             |
| <b>Voluntary Aided</b>      | 0                                         | 0                                        | 0                             |
| <b>Foundation</b>           | 0                                         | 0                                        | 0                             |
| <b>Academy</b>              | 0                                         | 0                                        | 0                             |
| <b>Free School</b>          | 0                                         | 0                                        | 0                             |
| <b>UTC</b>                  | N/A                                       | 0                                        | 0                             |
| <b>Studio School</b>        | N/A                                       | 0                                        | 0                             |

(d) What action is your LA taking to prevent fraudulent applications?

Comment: There has been a rise in reporting of address fraud by members of the public and schools. Reference to address fraud has always been in our Primary and Secondary booklets and reference to address checking is part of our Terms and Conditions on the Single Application Form. Random checks are carried out and where possible addresses are 'matched' to data from the early years record for the applicant.

**C. Summer-born children, deferred entry and part-time attendance**

The DfE issued revised guidance in December 2014 “Advice on the admission on summer-born children” for local authorities, school admission authorities and parents ([Link to Guidance](#)). The Code at paragraph 2.16 deals with deferred entry and/or part-time attendance for children in the year they reach compulsory school age. Paragraph 2.17, 2.17A and 2.17B refer to the admission of children outside their normal age group.

(a) Do you keep data for any schools on the number of requests from parents who ask that their child is admitted to a class outside their normal age group?

Tick as appropriate:      Yes       No

(i) For community and voluntary controlled schools:      Yes  No

(ii) For own admission authority schools:      Yes  No

If **YES in answer to (a) above**, please complete the tables:

| Type of School                   | In 2015, how many requests for deferred admission to year R in 2016 were agreed for a child who will have reached the normal age for Year 1? |
|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| Community & Voluntary Controlled | 0                                                                                                                                            |
| Own Admission Authority          | 0                                                                                                                                            |

| Type of School                   | How many requests to defer admission to year R in 2016 were received? | How many of those requests were subsequently agreed? |
|----------------------------------|-----------------------------------------------------------------------|------------------------------------------------------|
| Community & Voluntary Controlled | 0                                                                     | 0                                                    |
| Own Admission Authority          | 0                                                                     | 0                                                    |

(b) What reasons, if known, were given for seeking to defer the admission to year R of children for a full school year?

Comments:

(c) Do you have any other comments on the matter of admission of summer-born children, including requests to delay admissions made after the allocation of places in the normal admissions round?

Comments:

(d) Do you have any comments about paragraph 2.16c) in the Code concerning the offer and/or take-up of part-time attendance by children below compulsory school age?

Comments:

**D. Pupil, service and early years premium**

The 2014 Code permits all schools to give priority for admission in 2016 to children eligible for the pupil, service or early years premium (paragraphs 1.39A and 1.39B). If admission authorities wish to introduce such a priority they must have consulted as required by the Code in paragraphs 1.42-1.45.

(a) Pupil and service premium

In respect of community and voluntary controlled schools:

| Type of School                 | Has the LA considered giving priority to pupil/service premium? (Y/N) | If YES, have you consulted on this? (Y/N) | In response to consultation has the priority been implemented? (Y/N) |
|--------------------------------|-----------------------------------------------------------------------|-------------------------------------------|----------------------------------------------------------------------|
| Community Primary              | N                                                                     |                                           |                                                                      |
| Voluntary Controlled Primary   | N                                                                     |                                           |                                                                      |
| Community Secondary            | N                                                                     |                                           |                                                                      |
| Voluntary Controlled Secondary | N                                                                     |                                           |                                                                      |

Comments:

In respect of own admission authority schools:

| Type of School            | Has the LA been consulted by any own admission authority of the type shown below on giving priority to pupil/service premium? (Y/N) | If YES in response to consultation, for how many schools has the priority been implemented? (Please give the number) |
|---------------------------|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| Voluntary Aided Primary   | N                                                                                                                                   |                                                                                                                      |
| Foundation Primary        | N                                                                                                                                   |                                                                                                                      |
| Academy Primary           | N                                                                                                                                   |                                                                                                                      |
| Free School Primary       | N                                                                                                                                   |                                                                                                                      |
| Voluntary Aided Secondary | N                                                                                                                                   |                                                                                                                      |
| Foundation Secondary      | N                                                                                                                                   |                                                                                                                      |
| Academy Secondary         | N                                                                                                                                   |                                                                                                                      |
| Free School Secondary     | N                                                                                                                                   |                                                                                                                      |
| UTC                       | N                                                                                                                                   |                                                                                                                      |
| Studio School             | N                                                                                                                                   |                                                                                                                      |

Comments:

(b) Early years pupil premium - nursery priority

In respect of community and voluntary controlled schools:

| Type of School               | Has the LA considered giving priority to early years pupil premium? (Y/N) | If YES, have you consulted on this? (Y/N) | In response to consultation has the priority been implemented? (Y/N) |
|------------------------------|---------------------------------------------------------------------------|-------------------------------------------|----------------------------------------------------------------------|
| Community Primary            | N                                                                         |                                           |                                                                      |
| Voluntary Controlled Primary | N                                                                         |                                           |                                                                      |

Comments:

In respect of own admission authority schools:

| Type of School | Has the LA been consulted by any own admission authority of the type shown below on giving priority to early years pupil premium? (Y/N) | If YES in response to consultation, for how many schools has the priority been implemented? (Please give the number) |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
|                |                                                                                                                                         |                                                                                                                      |

|                                |   |  |
|--------------------------------|---|--|
| <b>Voluntary Aided Primary</b> | N |  |
| <b>Foundation Primary</b>      | N |  |
| <b>Academy Primary</b>         | N |  |
| <b>Free School Primary</b>     | N |  |

|           |
|-----------|
| Comments: |
|-----------|

**E. Local Authority Issues**

Please provide details of any other issues that you would like to raise and/or comment on that have not been already covered in this report.

|           |
|-----------|
| Comments: |
|-----------|

**Thank you for completing this report**

Please email your completed report to: [osa.team@osa.gsi.gov.uk](mailto:osa.team@osa.gsi.gov.uk)